Attorney Docket No. 36321-8007.US01

THE HAZ ALE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450,

Alexandria, VA 22313-1450, on:

te:<u>January 11, 2006</u>

By: Susan L Baka

**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

SHACHAM, ET AL.,

APPLICATION No.: 09/877,655

FILED:

**JUNE 8, 2001** 

FOR: METHOD AND APPARATUS FOR ENHANCING

**NETWORK SECURITY PROTECTION SERVER** 

PERFORMANCE

EXAMINER:

SIMITOSKI, M.

ART UNIT:

2134

CONF. NO.:

9498

**ATTORNEY DOCKET NO.:** 

36321-8007.US01

<u>Information Disclosure Statement Within Three Months of</u>
Application Filing or Before First Action – 37 C.F.R. § 1.97(b)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

# 1. Timing of Submission

This information disclosure is being filed within three months of the filing date of this application or date of entry into the national stage of an International Application or before the mailing date of a first Office Action on the merits after the filing of a Request for Continued Examination under 37 CFR §1.114, whichever occurs last (37 CFR 1.97(b)(4)). The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

## 2. Cited Information

- - ⊠ References marked by asterisks
  - ☐ The following: References 1-4 (Non-patent Literature Documents)

## 3. Effect of Information Disclosure Statement (37 C.F.R. § 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

#### 4. Fee Payment

No fees are believed due because this Information Disclosure Statement is being filed before the mailing date of the first Office Action.

However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-2207.

Respectfully submitted,

Perkins Coie LLP

Date: January 11, 2006

William F. Ahmann Registration No. 52,548

# **Correspondence Address:**

Customer No. 22918
Perkins Coie LLP
P.O. Box 2168
Menlo Park, California 94026
(650) 838-4300

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Form PTO-1449 (Modified)

FUSE several sheets if necessary)

COMPLETE IF KNOWN				
Application Number	09/877,655			
Confirmation Number	9498			
Filing Date	June 8, 2001			
First Named Inventor	Schacham			
Group Art Unit	2134			
Examiner Name	SIMITOSKI, M.			
Attorney Docket No.	36321-8007.US01			

1	of	

3

				U.S. PATENT DOCUMENTS		
Examiner Initials*	Cite No.	U.S. Patent or Application  Kind Co	ode	Name of Patentee or Inventor of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4,386,416		Giltner	05/31/83	
		5,222,133		Chou et al.	10/17/91	
		5,557,712		Guay	02/16/94	
		5,734,744		Wittenstein	06/07/95	
		5,764,235		Hunt et al.	3/25/96	
		5,828,832		Holden et al.	10/27/98	
		5,923,756		Shambroom, W. David	7/1999	
		6,061,448		Smith et al.	5/2000	
		6,012,198		Anigbogu	02/01/00	
		6,073,242		Hardy et al.	06/06/00	
		6,098,096		Bayeh et al.	08/01/00	
		6,105,012		Chang et al.	8/2000	
		6,202,157		Brownlie et al.	03/13/01	
		6,154,542		Crandall	11/28/00	
		6,216,212		Challenger et al.	04/2001	
		6,233,565		Lewis et al.	05/2001	
		6,397,330		Elgamal et al.	05/28/02	
		6,587,866		Modi et al.	07/01/03	
		6,598,167		Devine et al.	7/2003	
		6,621.505		Beauchamp	09/16/03	
		6,874,089		Dick et al.	03/2005	
		6,886,095		Hind et al.	4/2005	
		6,963,980		Mattsson	11/16/00	
		6,990,660		Moshir et al.	1/24/06	
	*	10/526,252		Fountain et al.	02-24/05	
	*	10/850,827		Koyfman	05/20/04	

EXAMINER		DATE CONSIDERED
*EXAMINER:	Initial if reference considered, whether or not criteria is in conform considered. Include copy of this form with next communication to	nance with MPEP 609. Draw line through citation if not in conformance and not papplication(s).

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Form PTO-1449 (Modified) (Use several sheets if necessary)

of

3

2

Sheet

COMPLETE IF KNOWN				
Application Number 09/877,655				
Confirmation Number	9498			
Filing Date	June 8, 2001			
First Named Inventor	Schacham			
Group Art Unit	2134			
Examiner Name	SIMITOSKI, M.			
Attorney Docket No.	36321-8007.US01			

<del></del>			U.S. PATENT DOCUMENTS		
Examiner Initials*	Cite No.			Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	*	11/236,046	Metzger et al.	09/26/05	
., .	*	11/236,294	Metzger et al.	09/26/05	
	*	11/236,061	Metzger et al.	09/26/05	
		2002/0012473	Kondo et al.	9/30/1997	
		2002/0073232	Hong et al.	06/13/02	
		2002/0112167	Boheh et al.	10/02/02	
		2002/0016911	Chawla et al.	07/09/01	
		2002/0039420	Schacham et al.	06/08/01	
	2002/0066038		Mattsson	11/29/00	
	2002/0087884		Shacham et al.	06/08/01	
	2003/0014650		Freed et al.	01/16/03	
	2003/0065919		Albert et al.	4/2003	
	2003/0097428		428 Afkhami	05/22/03	
		2003/0101355	Mattsson	12/28/01	
		2003/0123671	He et al.	07/03/03	
		2003/0156719	Cronce	02/21/02	
		2003/0197733	Beauchamp	09/23/03	
_		2003/0204513	Bumbulis	10/30/03	
		2004/0015725	Boneh et al.	07/24/02	
			FOREIGN PATENT DOCUMENTS		
Examiner Initials*	Cite No.	Foreign Patent or Application  Kind ( Office NUMBER (if kn	ode Name of Patentee or Applicant	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	*	02/101605	Godfrey et al.	12/19/02	
I		OTHER PRIOR	ART-NON PATENT LITERATURE	DOCUMENTS	<u></u>
Examiner Initials*	Cite No.	Include name of the a (book, magazine, journal,	thor (in CAPITAL LETTERS), title of the articlerial, symposium, catalog, etc.), date, page(sand/or country where published	s), volume issue numb	, title of the item per(s), publisher, city

EXAMINER		DATE CONSIDERED
*EXAMINER:	Initial if reference considered, whether or not criteria is in conform	nance with MPEP 609. Draw line through citation if not in conformance and not
	considered. Include copy of this form with next communication to	application(s).

1					COMPLETE IF KNOWN
				Application Number	09/877,655
	INFORMATION			Confirmation Number	9498
STATEMENT BY APPLICANT  Form PTO-1449 (Modified)  (Use several sheets if necessary)				Filing Date	June 8, 2001
				First Named Inventor	Schacham
				Group Art Unit	2134
				Examiner Name	SIMITOSKI, M.
Sheet	3	of	3	Attorney Docket No.	36321-8007.US01

	OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published.	т			
	1.	Alteon Web Systems: "The Next Step in Server Loading Balancing" November 1999, Retrieved from the Internet: URL:http://www.nortelnetworks.com/products/library/collateral/intel_int/webworking wp.pdf, Retrieved on March 2, 2004; pages 4-11.				
	2.	Alteon Web Systems: "Networking with the Web in Mind" May 1999, Retrieved from the Internet: <u>URL:http://www.nortelnetworks.com/products/library/collateral/intel_int/webworking_wp.pdf</u> , Retrieved on March 2, 2004; page 1, pages 3-7.				
	3.	Herda, S., "Non-repudiation: Constituting evidence and proof in digital cooperation," Computer Standards and Interfaces, Elsevier Sequoia, Lausanne, CH, 17:1 (69-79) 1995.				
	4.	RSA Laboratories: "PKCS #7: Cryptographic Message Syntax Standard, Version 1.5," RSA Laboratories Technical Note, pp. 1-30, November 1, 1993.				

EXAMINER		DATE CONSIDERED
*EXAMINER:	Initial if reference considered, whether or not criteria is in conform	nance with MPEP 609. Draw line through citation if not in conformance and not
	considered. Include copy of this form with next communication to	application(s).